

A computability theoretic equivalent to Vaught's conjecture.

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The Main Theorem

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Note that it follows from the Continuum Hypothesis.

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We call such a real a *presentation* of \mathcal{A} .

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Obs: The class of presentations of models of an $L_{\omega_1, \omega}$ sentence is Borel.

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Lemma: [Scott 65] For every structure \mathcal{A} , there is an $L_{\omega_1, \omega}$ sentence φ such that if $\mathcal{B} \models \varphi$, then $\mathcal{B} \cong \mathcal{A}$.

Perfect set variation:

Given a theory T , either T has countably many countable models,
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Thm [Becker, Kechris]: The topological Vaught's conjecture for the group S^∞ is equivalent to Vaught's conjecture for $L_{\omega_1, \omega}$.

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- So $|\{\text{models of } T\}| \leq \aleph_1$.

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Note: This definition is independent of whether CH holds or not.

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Def: $A \subseteq 2^{\mathbb{N}}$ is *projective* if it is Σ_n^1 for some n .

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Projective Determinacy (PD): Every projective set is determined.

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Obs: For instance, all arithmetic sets are hyperarithmetical.

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Example: A countable ordered group $\mathcal{A} = (A, \times_A, \leq_A)$
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Hyperarithmetical-is-Recursive

Let \mathbb{K} be a class of structures.

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Theorem ([M.] (ZFC+PD))

Let T be a theory with uncountably many countable models.

The following are equivalent:

- T is a counterexample to Vaught's conjecture.
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Def: A tree T is *pointed* if $(\forall X \in [T]) X \geq_T T$.

Pointed Trees

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Def: $\rho(\mathcal{A})$ is the least α such that if $\mathcal{B} \equiv_\alpha \mathcal{A}$, then $\mathcal{B} \cong \mathcal{A}$.

$\omega_1^{\mathcal{A}} = \text{least}\{\omega_1^X : X \text{ computes a copy of } \mathcal{A}\}$.

Obs: For every structure \mathcal{A} , $\rho(\mathcal{A}) \leq \omega_1^{\mathcal{A}} + 1$.

Def: \mathcal{A} has *low Scott rank* if $\rho(\mathcal{A}) < \omega_1^{\mathcal{A}}$.

A proof of hyp-is-rec

Theorem ([M.] (ZFC+PD))

Let $(\mathfrak{R}, \equiv, r)$ be scattered projective ranked equivalence relation

such that $\forall Z \in \mathfrak{R}, r(Z) < \omega_1^Z$.

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The Main Theorem– yet again

Theorem ([M.] (ZFC+PD))

Let T be a theory with uncountably many countable models.

The following are equivalent:

- T is a counterexample to Vaught's conjecture. \Leftarrow
- T satisfies hyperarithmetical-is-recursive on a cone. \Rightarrow
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Theorem

If T has strictly more than \aleph_1 many models,

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Thm: Let \mathbb{K} be Π_2^c axiomatizable, and \mathbb{K}^{fin} computable,
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If $\varphi_i(\bar{c}) \equiv \bigvee_j \exists(\bar{x}) \psi_{i,j}(\bar{c}, \bar{x})$, **search** for j , $\mathcal{A}_s \in \mathbb{K}^{fin}$ and $\bar{a} \in \mathcal{A}_s$
such that $\mathcal{A}_{s-1} \subseteq \mathcal{A}_s$ and $\mathcal{A}_s \models \psi_{i,j}(\bar{c}, \bar{a})$.

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Corollary: If \mathbb{K} is Π_2^c , and t is a computable Σ_1 -type in \mathbb{K} , then there is a **computable** structure in \mathbb{K} realizing t .

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Pf: Add the Σ_1 -type to the theory.

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Corollary: If \mathbb{K} is Π_2^c , and t is a computable Σ_1 -type in \mathbb{K} , then there is a **computable** structure in \mathbb{K} realizing t .

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Pf: For every Y , Y' computes an X which is not Y -left c.e.

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- Assuming T is Π_α^c , if $\mathcal{A} \models T$, then $\mathcal{A}^{(\alpha)}$ computes $\hat{\mathcal{A}}$ with $\hat{\mathcal{A}} \models \hat{T}$.

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\equiv_α is Borel, so, by [Silver 80], $\mathbf{bf}_\alpha(\mathbb{K})$ has size either countable or continuum.

Examples

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Complete sets of Π_α^{in} -formulas

Definition ([M])

$\{P_0, P_1, \dots\}$ is a *complete set of Π_α^{in} formulas* for \mathbb{K} if every $\Sigma_{\alpha+1}^{in}$ \mathcal{L} -formula is equivalent to a Σ_1^{in} $(\mathcal{L} \cup \{P_0, \dots\})$ -formula.

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Every Σ_2^c formula is equivalent in \mathbb{K} to a
0'-disjunction of Σ_1 finitary formulas in the language $\{\leq, Succ\}$.

Example: Π_2^c relations on Linear orderings

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The relations $\{\text{Succ}, D_1, D_2, D_3, \dots, D_1^{+\infty}, \dots, D_1^{-\infty} \dots\}$ are
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Every Σ_3^c formula is equivalent to a $0^{(2)}$ -disjunction of
 Σ_1 finitary formulas in the language $\{\leq, \text{Succ}, D_1, D_2, \dots\}$.

Theorem ([Harris, M] rels. used by Downey-Jockusch, Thurber, Knight-Stob)

The sets R_n are a complete sets of Π_n^c relations:

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Furthermore, $\forall n$ there is a finite complete set of Π_n^c relations

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- **any** set can be weakly- Σ_α^0 -**encoded** in some structure in \mathbb{K} ;

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Let \mathbb{K} be a Borel class of countable structures.

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- There are countably many Π_α^{in} -types realized in \mathbb{K} .
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The Main Theorem— so you don't forget

Theorem ([M.] (ZFC+PD))

Let T be a theory with uncountably many countable models.
The following are equivalent:

- T is a counterexample to Vaught's conjecture. \Leftarrow
- T satisfies hyperarithmetic-is-recursive on a cone. \Rightarrow
- There exists an oracle relative to which

$$\{Sp(\mathcal{A}) : \mathcal{A} \models T\} = \{\{X \in 2^\omega : \omega_1^X \geq \alpha\} : \alpha \in \omega_1\}.$$

Theorem

If $|\mathbf{bf}_\alpha(\mathbb{K})| = 2^{\aleph_0}$ for some α , then, relative to every X on a cone,
 \mathbb{K} has an X -hyperarithmetic model without an X -computable copy.

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So, \mathcal{A} does not have an X -computable copy.

The Main Theorem—again

Theorem ([M.] (ZFC+PD))

Let T be a theory with uncountably many countable models.

The following are equivalent:

- T is a counterexample to Vaught's conjecture.
- T satisfies hyperarithmetic-is-recursive on a cone.
- *There exists an oracle relative to which*

$$\{Sp(\mathcal{A}) : \mathcal{A} \models T\} = \{\{X \in 2^\omega : \omega_1^X \geq \alpha\} : \alpha \in \omega_1\}.$$

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Def: $Sp(\mathcal{A}) = \{X \in 2^\omega : X \text{ computes a copy of } \mathcal{A}\}.$

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Theorem ([M.] (ZFC+PD))

If T is a counterexample to Vaught's conjecture, then, there is $Y \in 2^\omega$ such that, for every $C \subseteq 2^\mathbb{N}$, the following are equivalent:

- $C = Sp^Y(\mathcal{A})$ for some $\mathcal{A} \models T$,
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Corollary: If T is a counterexample to Vaught's conjecture, then T satisfies hyperarithmetical-is-recursive on a cone.

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“If you have a structure \mathcal{A} with $Sp(\mathcal{A}) = Sp(\omega_1^{CK})$, there exists continuum many \mathcal{B} with $\mathcal{B} \models \Pi_2^c\text{-Th}(\mathcal{A})$.”

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Question: What can we say about the structures with $Sp(\mathcal{A}) = Sp(\omega_1^{CK})$?

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In all these examples, we know that

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Thm: [Harrison '66] There is a **computable** linear ordering \mathcal{H} such that

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i.e. $\mathcal{H}^X \cong \omega_1^X + \omega_1^X \cdot \mathbb{Q}$ has no X -hyp descending sequences.

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Again, for $\alpha < \omega_1^{CK}$, for any two lin.ord $\mathcal{L}_1, \mathcal{L}_2$, $\mathbb{Z}^\alpha \cdot \mathcal{L}_1 \equiv_\alpha \mathbb{Z}^\alpha \cdot \mathcal{L}_2$.

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Gandy's Basis Theorem:

If φ is Σ_1^1 , and $\exists X \varphi(X)$, then there is such an X with $\omega_1^X = \omega_1^{CK}$.

Interpolation lemma

Lemma: If $\omega_1^X = \omega_1^Y$, then there is G such that

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T being scattered means that $\mathbf{bf}_\alpha(\mathbb{K})$ is countable for all α .

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Obs: Being **the** α -bf-structure of T is Π_1^1 .

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From now on, we work relative to the base of this cone.

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Recall: The α -bf-structure of T is the set of all the triples $\langle \beta, R_p, S_p \rangle$ where

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\mathcal{A} has an X -computable model, for some X with $\omega_1^X = \omega_1^Y \leq \omega_1^{X \oplus Y}$.

Lemma: Suppose $\omega_1^A \leq \omega_1^Y$ and $\mathcal{A} \models T$. Then Y computes a copy of \mathcal{A} , provided \mathcal{A} has an X -computable model, with $\omega_1^X = \omega_1^Y = \omega_1^{X \oplus Y}$.

- Y computes an α^* -bf-structure \mathbb{B} , correct up to ω_1^Y , with $\alpha^* \in \mathcal{H}^Y \setminus \omega_1^Y$.
- \mathcal{A} satisfies \mathbb{B} up to some $\beta^* \in \alpha^* \setminus \omega_1^Y$, because ω_1^Y is not $\Sigma_1^1(X \oplus Y)$.
- Let p^* be such that $\mathcal{A} \models R_{p^*}^-$, and $\beta_{p^*} = \beta^*$.
- Y computes \mathcal{B} which satisfies $\mathbb{B} \upharpoonright \beta^*$, and has same β^* -type as \mathcal{A} .
- So, $\mathcal{A} \equiv_{\omega_1^Y} \mathcal{B}$.
- Both \mathcal{B} and \mathcal{A} are computable in $X \oplus Y$, and $\mathcal{A} \equiv_{\omega_1^{X \oplus Y}} \mathcal{B}$. So $\mathcal{A} \cong \mathcal{B}$.

\mathcal{A} has an X -computable model, for some X with $\omega_1^X = \omega_1^Y \leq \omega_1^{X \oplus Y}$.
Let G be such that $\omega_1^X = \omega_1^{X \oplus G} = \omega_1^G = \omega_1^{G \oplus Y} = \omega_1^Y$.

Lemma: Suppose $\omega_1^{\mathcal{A}} \leq \omega_1^Y$ and $\mathcal{A} \models T$. Then Y computes a copy of \mathcal{A} , provided \mathcal{A} has an X -computable model, with $\omega_1^X = \omega_1^Y = \omega_1^{X \oplus Y}$.

- Y computes an α^* -bf-structure \mathbb{B} , correct up to ω_1^Y , with $\alpha^* \in \mathcal{H}^Y \setminus \omega_1^Y$.
- \mathcal{A} satisfies \mathbb{B} up to some $\beta^* \in \alpha^* \setminus \omega_1^Y$, because ω_1^Y is not $\Sigma_1^1(X \oplus Y)$.
- Let p^* be such that $\mathcal{A} \models R_{p^*}^-$, and $\beta_{p^*} = \beta^*$.
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- So, $\mathcal{A} \equiv_{\omega_1^Y} \mathcal{B}$.
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\mathcal{A} has an X -computable model, for some X with $\omega_1^X = \omega_1^Y \leq \omega_1^{X \oplus Y}$.
Let G be such that $\omega_1^X = \omega_1^{X \oplus G} = \omega_1^G = \omega_1^{G \oplus Y} = \omega_1^Y$.

Apply the lemma above twice.

The two steps

Suppose T is a scattered theory with uncountably many models.
We want to show:

There is an oracle relative to which

- 1 For every admissible α , there is $\mathcal{A} \models T$ with $\omega_1^{\mathcal{A}} = \alpha$.
- 2 For every $\mathcal{A} \models T$, $Sp(\mathcal{A}) = \{X : \omega_1^X \geq \omega_1^{\mathcal{A}}\}$.

As we would then get:

$$\{Sp(\mathcal{A}) : \mathcal{A} \models T\} = \{\{X \in 2^\omega : \omega_1^X \geq \alpha\} : \alpha \in \omega_1\}.$$

Theorem ([M.] (ZFC+PD))

Let T be a theory with uncountably many countable models.

The following are equivalent:

- T is a counterexample to Vaught's conjecture.
- T satisfies hyperarithmetic-is-recursive on a cone.
- There exists an oracle relative to which

$$\{Sp(\mathcal{A}) : \mathcal{A} \models T\} = \{\{X \in 2^\omega : \omega_1^X \geq \alpha\} : \alpha \in \omega_1\}.$$